



DATA PRIVACY POLICY

1. About this Policy

- 1.1 This policy explains when and why we collect personal information about our shareholders, clients, investors and staff, how we use it and how we keep it secure and their rights in relation to it.
- 1.2 We may collect, use and store your personal data, as described in this Data Privacy Policy and as described when we collect data from you.
- 1.3 We reserve the right to amend this Data Privacy Policy from time to time without prior notice. We may be required to amend this Data Privacy Policy due to regulations. For any significant changes you will be notified but you are advised to check our website for the latest Privacy Policy.
- 1.4 We will always comply with the Nigeria Data Protection Regulation (**NDPR**) when dealing with your personal data. For the purposes of the NDPR, we will be the “controller” of all personal data we hold about you.

2. Who are we?

Capital Express Global Asset Management Limited is a leading asset management firm focused on providing financial advisory, capital raising and investment management services for private individuals, institutions, governments and government agencies.

We can be contacted at

Plot 1626C-E, Idejo Street, Off Adeola Odeku Street, Victoria Island, Lagos.

dpo@capitalexpresssecurities.com

Tel: 01-4540089 | 08185616810

3. What information we collect and why.

Which information	What we do	Why we do it	Retention time <i>How long do we keep your information</i>
Name: Surname First Name Middle Name	Complete a task Provide a service	To comply with legal and regulatory obligations and requirements	For as long as it is necessary to comply with Capital Express Global Asset Management Limited obligations and statutory functions
Date of birth / age related information	Complete a task Provide a service	To comply with legal and regulatory obligations and requirements	For as long as it is necessary to comply with Capital Express Global Asset Management Limited obligations and statutory functions
Gender	Complete a task Provide a service	To comply with legal and regulatory obligations and requirements	For as long as it is necessary to comply with Capital Express Global Asset Management Limited obligations and statutory functions
Photo(s) and video(s)	Complete a task Provide a service	To comply with legal and regulatory obligations and requirements	For as long as it is necessary to comply with Capital Express Global Asset Management Limited obligations and statutory functions
Bank account detail(s)	Complete a task Provide a service	To comply with legal and regulatory obligations and requirements	For as long as it is necessary to comply with Capital Express Global Asset Management Limited obligations and statutory functions
Phone number(s)	Complete a task Provide a service	To comply with legal and regulatory obligations and requirements	For as long as it is necessary to comply with Capital Express Global Asset Management Limited obligations and statutory functions

Email address	Complete a task Provide a service	To comply with legal and regulatory obligations and requirements	For as long as it is necessary to comply with Capital Express Global Asset Management Limited obligations and statutory functions
National Health Insurance (NHIS) details	Complete a task Provide a service	To comply with legal and regulatory obligations and requirements	For as long as it is necessary to comply with Capital Express Global Asset Management Limited obligations and statutory functions
Academic qualification(s) details	Complete a task Provide a service	To comply with legal and regulatory obligations and requirements	For as long as it is necessary to comply with Capital Express Global Asset Management Limited obligations and statutory functions
Contractors and Service Providers details	Complete a task Provide a service	To comply with legal and regulatory obligations and requirements	For as long as it is necessary to comply with Capital Express Global Asset Management Limited obligations and statutory functions
Bank verification number (BVN)	Complete a task Provide a service	To comply with legal and regulatory obligations and requirements	For as long as it is necessary to comply with Capital Express Global Asset Management Limited obligations and statutory functions
Pension fund administrator (PFA) details	Complete a task Provide a service	To comply with legal and regulatory obligations and requirements	For as long as it is necessary to comply with Capital Express Global Asset Management Limited obligations and statutory functions
Employment details	Complete a task Provide a service	To comply with legal and regulatory obligations and requirements	For as long as it is necessary to comply with Capital Express Global Asset Management Limited obligations and statutory functions

4. **How we protect your personal data**

- 4.1 We will not transfer your personal data outside Nigeria without your consent.
- 4.2 We have implemented generally accepted standards of technology and operational security in order to protect personal data from loss, misuse, or unauthorised alteration or destruction.
- 4.3 **Please note** however that where you are transmitting information to us over the internet this can never be guaranteed to be 100% secure.
- 4.4 For any payments which we take from you online we will use a recognised online secure payment system.
- 4.5 We will notify you promptly in the event of any breach of your personal data which might expose you to serious risk.

5. **Who else has access to the information you provide us?**

- 5.1 We will never sell your personal data. We will not share your personal data with any third parties without your prior consent (which you are free to withhold) except where required to do so by law.
- 5.2 We may pass your personal data to third parties who are Service Providers, Agents and Subcontractors to us for the purposes of completing tasks and providing services to you on our behalf (e.g. to print newsletters and send you mailings). However, we disclose only the personal data that is necessary for the third party to deliver the service(s) and we have a contract in place that requires them to keep your information secure and not to use it for their own purposes.

6. **How long do we keep your information?**

- 6.1 We will hold your personal data on our Database Management System for as long as you are our client, service provider, corps member and staff for as long as is necessary to comply with our legal obligations. We will review your personal data yearly to establish whether we are still entitled to process it. If we decide that we are not entitled to do so, we will stop processing your personal data except that we will retain your personal data in an archived form in order to be able to comply with future legal obligations e.g. compliance with tax requirements and exemptions, and the establishment exercise or defence of legal claims.
- 6.2 We securely destroy all financial information once we have used it and no longer need it.

7. **Your rights**

7.1 You have rights under the NDPR:

- (a) to access your personal data
- (b) to be provided with information about how your personal data is processed
- (c) to have your personal data corrected
- (d) to have your personal data erased in certain circumstances
- (e) to object to or restrict how your personal data is processed
- (f) to have your personal data transferred to yourself or to another business in certain circumstances.

7.2 You have the right to take any complaints about how we process your personal data to the National Information Technology Development Agency (NITDA):

dpo@nitda.gov.ng

For more details, please address any questions, comments and requests regarding our data processing practices to our Data Protection Officer via dpo@capitalexpresssecurities.com